

**Certification/Entitlement Regarding a Surviving
“Spouse” for Locked-In Plans
CIBC Asset Management Inc.**

To: CIBC Trust, as applicable

And to: CIBC Asset Management Inc., as applicable

Re: The Estate of _____ Account Number _____

The deceased, _____ (the “Annuitant”), maintained a:
(insert name of deceased annuitant)

- Check applicable plan type:** Locked-In Retirement Savings Plan (“LRSP”) / Locked-In Retirement Account (“LIRA”)
 Locked-In Retirement Income Fund (“LRIF”)
 Life Income Fund (“LIF”)
 Prescribed Retirement Income Fund (“PRIF”)

governed under the _____ Pension Legislation and the regulations under it,
(insert Federal or name of Province)
as amended from time to time (“Pension Legislation”).

Part A – To be completed by the person who is declaring to be entitled to the spousal death benefit as provided under the applicable Pension Legislation

I have reviewed the spousal definition information provided to me by my representative. I hereby certify the following:

- i) I am the surviving “spouse” of the Annuitant as defined under the applicable Pension Legislation governing these locked-in funds.
- ii) I **have not** previously waived my right to receive the spousal death benefit under the above-noted locked-in plan.
- iii) There are no conditions that would disentitle me from receiving the spousal death benefit (*please see note* below*).
- iv) The Annuitant was an original pension plan member OR the Annuitant was not an original pension plan member, but the locked-in funds are held in a British Columbia LIF, or a Nova Scotia or New Brunswick LIRA or LIF.

***Important Note:** You should be aware that if there was a situation involving a relationship breakdown between you and the Annuitant at the time of his/her death, certain conditions may preclude you from entitlement to the spousal death benefit. Some of these conditions may include the following: living separate and apart; a previous division of pension assets; a court order; a separation agreement or any other legal domestic contract respecting the marriage or marriage-like relationship. For further clarification, we strongly urge you to seek independent legal advice before signing this form. If you are unable to certify all the above statements, you are not entitled to receive the spousal death benefit.

Date (mmm/dd/yyyy)

Name of Spouse

Signature of Spouse

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Part B – To be completed by the estate representative

As estate representative for the Annuitant, I have reviewed the spousal definition information provided to me by my representative. I hereby certify either of the following (check one only):

Spouse is Entitled

- i) _____ is the surviving spouse of the Annuitant as defined under the applicable Pension Legislation governing these locked-in funds;
- ii) To the best of my knowledge, there is no other person who may be considered a spouse of the Annuitant; and
- iii) I confirm the certification provided in Part A.

OR

No Spouse is Entitled

The Annuitant **does not** have a surviving spouse as defined under the applicable Pension Legislation governing these locked-in funds or the Annuitant does have a surviving spouse, but that person is not entitled to the death benefit due to a pre-existing condition (*please see note* on previous page*).

Date (mmm/dd/yyyy)

Name of Estate Representative

Signature of Estate Representative